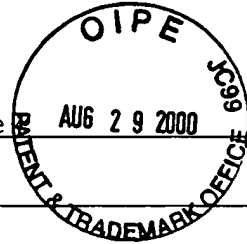


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

STATEMENT CLAIMING SMALL ENTITY STATUS

(37 CFR 1.9(f) & 1.27(b)) - INDEPENDENT INVENTOR

APPLICANT OR PATENTEE: Bruce Henry Garvie
SERIAL OR PATENT NO. : 09/584,375
FILED OR ISSUED : May 31, 2000
TITLE : CLEAT FOR FOOTWEAR
DOCKET NO. : GAR-001



As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- X - the specification filed herewith with title as listed above.
- the application identified above.
- the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey, or license any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

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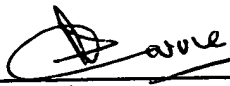
I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey, or license any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract of law to assign, grant, convey or license any rights in the invention is listed below:

- X - No such person, concern or organization exists.
___ - Each such person, concern or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating to their status as small entities (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

Date: 2/6/2000 Signature: 

Name of Inventor: Bruce Henry Garvie

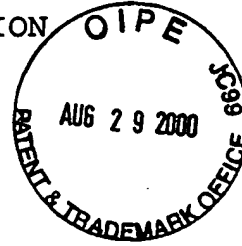
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION FOR PATENT APPLICATION

INVENTOR(S) : Bruce Henry Garvie

TITLE : CLEAT FOR FOOTWEAR

DOCKET NO. : GAR-001



TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:

As a below named inventor, I hereby declare that:

This declaration is of the following type: (check one applicable item below)

- ☒ original
- ☐ design
- ☐ supplemental

NOTE: *If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application do not check next item; check appropriate one of last three items.*

☐ national stage of PCT

NOTE: *If one of the following 3 items apply then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR CIP.*

- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part (CIP)

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is

claimed and for which a patent is sought on the invention entitled CLEAT FOR FOOTWEAR, the specification of which is attached hereto unless the following is checked:

X - was filed on 05/31/00 as United States Application Number or PCT International Application Number 09/584,375, and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 265(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designates at least one country other than the United States of America, listed below and have also identified below any foreign application for patents or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Applications:

Priority Claimed:

<u>99/3671</u>	<u>South Africa</u>	<u>31 May 1999</u>	<u>X</u>	_____
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

<u> </u>	<u> </u>	<u> </u>
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the National or PCT international filing date of this application.

<u> </u>	<u> </u>	<u> </u>
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)

<u> </u>	<u> </u>	<u> </u>
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)

As the inventor, I hereby appoint the following attorney(s) and/or agent(s) of AQUILINO, WELSH & FLAXMAN to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith. Name and registration number are listed below.

NICHOLAS J. AQUILINO	24,527
JOHN L. WELSH	33,621
HOWARD N. FLAXMAN	34,595

Please send correspondence to:

John L. Welsh

2341 Jefferson Davis Hwy.

Suite 112

Arlington, Virginia 22202

Direct telephone calls to:

John L. Welsh

(703) 920-1122

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor:

Bruce Henry Garvie

Residence : Johannesburg, South Africa

Citizenship: South African

Post Office Address: PO Box 1043

Northcliff - 2115

Johannesburg SOUTH AFRICA

Date: 2/6/2000 Signature: 